Targeting PML-RARα and Oncogenic Signaling Pathways by Chinese Herbal Mixture Tien-Hsien Liquid in Acute Promyelocytic Leukemia NB4 Cells

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Tien-Hsien Liquid (THL) is a Chinese herbal mixture that has been used worldwide as complementary treatment for cancer patients in the past decade. Recently, THL has been shown to induce apoptosis in various types of solid tumor cells in vitro. However, the underlying molecular mechanisms have not yet been well elucidated. In this study, we explored the effects of THL on acute promyelocytic leukemia (APL) NB4 cells, which could be effectively treated by some traditional Chinese remedies containing arsenic trioxide. The results showed THL could induce G2/M arrest and apoptosis in NB4 cells. Accordingly, the decrease of cyclin A and B1 were observed in THL-treated cells. The THL-induced apoptosis was accompanied with caspase-3 activation and decrease of PML-RARα fusion protein. Moreover, DNA methyltransferase 1 and oncogenic signaling pathways such as Akt/mTOR, Stat3 and ERK were also down-regulated by THL. By using ethyl acetate extraction and silica gel chromatography, an active fraction of THL named as EAS5 was isolated. At about 0.5–1% of the dose of THL, EAS5 appeared to have most of THL-induced multiple molecular targeting effects in NB4 cells. Based on the findings of these multi-targeting effects, THL might be regarded as a complementary and alternative therapeutic agent for refractory APL.

Keywords: acute promyelocytic leukemia – Chinese herbal mixture – molecular targeting

Introduction

Due to the severe side effects and limits of therapeutic efficacy of conventional cancer treatment, the use of complementary and alternative medicine (CAM) for improving clinical outcome and reducing side effects is prevalent worldwide. Among the various CAM treatment modalities, traditional Chinese medicine (TCM) with anticancer activity is the most popular choice. The Tien-Hsien Liquid (THL) is a Chinese herbal mixture, which has been used as a complementary anticancer agent for more than 10 years. It mainly consists of

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extracts from 14 Chinese medicinal herbs: *Cordyceps sinensis* (CS), *Oldenlandia diffusa* (OD), *Indigo pulvemata levis* (IPL; also known as *Indigo naturalis*), *Polyporus umbellatus* (PU), *Radix astragali* (RA), *Panax ginseng* (PG), *Solanum nigrum* L. (SNL), *Pogostemon cablin* (PC), *Atractylodis macrocephalae rhizoma* (AMR), *Trichosanthes radix* (TR), *Clematis radix* (CR), *Margarite* (M), *Ligustrum lucidum* Ait (LLA) and *Glycyrrhiza radix* (GR) (1). The biological activities of these herbs have been reported individually, including antioxidation, immunomodulation as well as cytostatic or cytotoxic effects etc. Recently, THL has been shown to induce apoptosis in many types of cancer cells and activate caspase-8, -9 and -3 in H1299 lung cancer cells (2).

Although those studies provided some information about the molecular basis of THL-induced anticancer activities, it is worthwhile to investigate additional molecular mechanisms. One clinically successful example of TCM therapy is the effective treatment of acute promyelocytic leukemia (APL) by some traditional Chinese remedies containing arsenic compounds (3,4). We attempted to explore the effects of THL on the NB4 APL cells and study the related molecular mechanisms.

Cell-cycle regulation has been suggested as a target for cancer therapy (5,6). Mitotic arrest of cell cycle was an important mechanism for arsenic trioxide (ATO)-induced apoptosis in APL cells (7). There may also be a tight link between THL-induced apoptosis and arrest of cell cycle. Therefore, we investigated the influence of THL on cell-cycle regulation and the related cyclins and cyclin-dependent kinase (CDK) in NB4 APL cells.

APL, a particular subtype of acute myeloid leukemia (AML) with a distinct cytologic morphology (M3 and M5 variant in French-American-British [FAB] classification), is characterized by a chromosome translocation t (15;17), which results in the rearrangement of promyelocytic leukemia (PML) gene and retinoic acid receptor-α (RARA) gene, and then the expression of PML-RARA fusion protein (3). It is commonly accepted that PML-RARA fusion protein forms the stable complexes with DNA methyltransferases (DNMTs) and with corepressors, such as SMRT/NCoR/histone deacetylase, to repress the RARE (retinoic acid response element)-containing genes (8). On the other hand, it is reported that up-regulated DNMTs may contribute to the pathogenesis of leukemia by inducing aberrant regional hypermethylation (9). Effective agents for APL such as all-trans retinoic acid (ATRA) and ATO are both able to degrade the PML-RARA (10,11) and inhibit DNMT (12,13). To more clarify the effects of THL on APL cells, its influences on PML-RARA and DNMT1, the most important DNMT for maintaining the aberrant methylation in cancer cells (14), were investigated in this study.

Additionally, in leukemia and other hematopoietic disorders, the Akt, Stat and extracellular signal-regulated kinase (ERK) signaling pathways are frequently activated by upstream mutations of cytokine receptors, aberrant chromosomal translocations as well as other genetic problems (15), resulting in suppression of apoptosis and deregulation of proliferation (16). Targeting these oncogenic signaling pathways for effective leukemia therapy thus had been proposed (15). To understand the effects of THL on APL cells more, its effects on these signaling molecules were also studied.

In order to improve the potency of THL, we try to find the active components by solvent extraction and silica gel chromatography. An active fraction named as EAS5 was isolated, which appears to have much higher potency in apoptosis induction than THL. The mechanisms underlying the effects of EAS5 were investigated as well in this study.

### Methods

#### Cell Culture

Human APL NB4 cells were cultured in RPMI 1640 medium (Gibco, Grand Island, NY, USA) supplemented with 10% fetal bovine serum (Gibco) and 1% Penicillin-Streptomycin-Glutamine (100×) liquid (Gibco). The cells were maintained at 37°C in a humidified atmosphere of 95% air and 5% CO₂.

#### THL and Preparation of Active Fraction EAS5

THL was provided by Feida Union Pharmaceutical Manufactory, El Monte, CA. It is an aqueous preparation of herbal mixture and consists mainly of extracts from 14 Chinese medicinal herbs as mentioned above. The original THL aqueous solution was lyophilized, weighed and then stored in −20°C. It was reconstituted with sterile distilled water to prepare the working solutions for experimental use. To isolate the active fraction EAS5, THL was extracted by ethyl acetate. The extract was subjected to silica gel chromatography eluted by the gradient ratios of ethyl acetate/n-hexane and then gradient ratios of methanol/ethyl acetate. The fifth fraction EAS5 eluted by ethyl acetate/n-hexane (80/20), was found to be the most potent fraction by its growth-inhibition activity in various cancer cell lines, including NB4 cells. Similarly, EAS5 was lyophilized and then weighed to prepare a 50 mg ml⁻¹ stock solution in dimethyl sulfoxide (DMSO) and kept in −20°C. Before being added to cells, the EAS5 stock solution was diluted with PBS to prepare the working solutions. The final DMSO concentrations were all less than 0.1% when EAS5 was added to cells.
Reagent and Antibodies

The ATRA and antibody against β-actin (A5441) were purchased from Sigma Chemical Co. (St. Louis, MO, USA). Primary antibodies against cyclin A (SC-239), cyclin B1 (SC-245), cyclin D1 (SC-8396), Cdc2 (sc-54), CDK2 (SC-163), PML (SC-5621), DNMT1 (SC-20701), Stat3 (SC-8019), phosphorylated Stat3 (SC-7993), ERK (sc-94), phosphorylated ERK (SC-7383) and glyceraldehyde-3-phosphate dehydrogenase (GAPDH) (SC-47724) were purchased from Santa Cruz Biotechnology (Santa Cruz, CA, USA). Primary antibodies against caspase-3 (#9661), Akt (#9272), phosphorylated Akt (#9271), mTOR (#2972) and phosphorylated mTOR (#2971) were purchased from Cell Signaling Technology (Beverly, MA, USA). Primary antibody against p21 (05-345) was purchased from Upstate Biotechnology (Lake Placid, NY, USA). Primary antibody against RARα (PC92L) was purchased from Calbiochem (San Diego, CA, USA).

Cell Viability Assay

NB4 cells were seeded in 10 cm dishes at a density of $10^6$ cells/10 cm dish for 24 h and then treated with drugs or PBS for 3 days. On the day of harvest, the cell viability was determined by trypan blue exclusion.

Analysis of Sub-G1 Apoptotic Population and Cell-cycle Distribution

One day after being seeded in a six-well plate ($10^5$ cells ml$^{-1}$, 2 ml well$^{-1}$), the NB4 cells were incubated with THL or EAS5 for the time intervals indicated in the figure legends. The control groups were treated with phosphate-buffered saline (PBS) only. At harvest, cells were fixed in ice-cold 70% ethanol and stored at $-20^\circ$C. Cells were then washed twice with ice-cold PBS and then incubated with RNase and DNA intercalating dye propidium iodide (50 μg ml$^{-1}$). The percentages of sub-G1 apoptotic population and cell-cycle distribution were then analyzed with a flow cytometer (BD Biosciences, San Jose, CA, USA).

Western Blot

Western blot was used to examine the THL-induced changes of caspase-3, cyclins, CDKs, PML-RARα and DNMT1 proteins, and antibodies against specific phosphorylated proteins were employed to analyze the activities of affected signaling pathways. Cells were seeded in 10 cm dishes at a density of $10^6$ cells/10 cm dish for 24 h and then treated with drugs or PBS for 3 days. On the day of harvest, the whole-cell lysates were prepared with radioimmunoprecipitation buffer containing 50 mM of Tris–HCl (pH 7.4), 150 mM of NaCl, 1% Nonidet-P40, 0.25% sodium deoxycholate, 1 mM of ethylenediaminetetraacetic acid (EDTA), 1 mM of Na$_3$VO$_4$, 1 mM of NaF, 1 mM of phenylmethanesulfonyl fluoride and 1:100 diluted protease inhibitors mixture (Sigma). The protein extracts were subjected to sodium dodecyl sulfate–polyacrylamide gel electrophoresis (SDS–PAGE) and transferred to polyvinylidene difluoride membrane (Bio-Rad, Richmond, CA, USA) by electroblocting. The membranes were blocked with 5% BSA in TBST (25 mM Tris–HCl, 125 mM NaCl, 0.1% Tween 20) for 1 h at room temperature and probed with primary antibody overnight at 4°C and then with horseradish peroxidase-conjugated secondary antibody for 1 h at room temperature. The immune complexes were visualized using the luminol reagent (Santa Cruz Biotechnology, Santa Cruz, CA, USA) according to the manufacturer’s instructions.

Results

THL Induced Apoptosis of NB4 Cells

THL dose-dependently decreased the viability of NB4 cells (Fig. 1). When treated with 0.375 mg ml$^{-1}$ of THL for 72 h, only 51.49% of NB4 leukemia cells survived, as compared with control. At the dose of 1.5 mg ml$^{-1}$, only 6.71% of cells survived, the IC50 was $\sim$0.353 mg ml$^{-1}$.

By flow cytometry analysis, a substantial amount of apoptotic cells with subG1 DNA content could be observed in THL-treated cells. As shown in Fig. 2A, THL markedly increased the percentage of apoptotic population in a dose-dependent manner. After 3 days of treatment, a total of 11.63% of subG1 population...
could be induced by THL at dose of 0.375 mg ml\(^{-1}\), compared with the 3.13% of PBS control group. When the dose of THL was greater than 0.75 mg ml\(^{-1}\), more than 60% of subG1 population was observed.

The time course of THL (1.5 mg ml\(^{-1}\))-induced apoptosis was shown in Fig. 2B. A total of 29.97% and 67.94% of subG1 population were found in THL (1.5 mg ml\(^{-1}\))-treated NB4 cells after 24 and 72 h treatment, respectively. In accordance with that of previous report (2), the THL-induced apoptosis in NB4 cells was accompanied with the activation of caspase-3. The cleaved (activated) caspase-3 was dose-dependently increased by THL after 72 h treatment (Fig. 2C).

THL Induced G2/M-phase Arrest

In addition to subG1 apoptotic population, the increase of G2/M-phase population was observed in THL-treated cells. This phenomenon was different from that induced by ATRA, a common agent for treating APL. As shown in Fig. 3 and Table 1, the pattern of cell-cycle distribution induced by THL was quite different from that induced by ATRA. At dose of 1.5 mg ml\(^{-1}\), THL increased the percentage of cells in the G2/M phase in a time-dependent manner. After 24 and 48 h of THL treatment, the percentage of G2/M-phase population was markedly increased from 19.21 and 23.27% of PBS control group up to 50.28 and 55.93%, respectively.
In contrast, ATRA primarily increased the G1-phase population (Table 1). After 24 and 48 h of ATRA treatment, the percentage of G1-phase population was increased from 48.36 and 48.01% of PBS control group up to 53.9 and 60.85%, respectively.

The progression of the cell cycle is mainly controlled by cyclins and CDKs. The cyclin A, cyclin B1 and Cdc2 (CDK1) are required to pass through the G2/M phase (17,18). Therefore, we examined whether these proteins were affected by THL. After 72 h of THL treatment, the western blot analysis showed the protein levels of cyclin A and cyclin B1 were markedly and dose-dependently decreased. As shown in Fig. 4A, the protein levels of cyclin A and cyclin B1 were almost completely diminished by THL at doses of 0.75 mg/ml and 3 mg/ml, respectively. In contrast, the changes of Cdc2 (Fig. 4A) and other cell-cycle regulating proteins

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<th>Cell-cycle distribution</th>
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<tr>
<td>PBS 24 h</td>
<td>G1 (%)  S (%)  G2/M (%)</td>
</tr>
<tr>
<td>PBS 48 h</td>
<td>48.36  32.43  19.21</td>
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<tr>
<td>THL 1.5 mg/ml 24 h</td>
<td>11.45  38.27  50.28</td>
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<tr>
<td>THL 1.5 mg/ml 48 h</td>
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<td>ATRA 0.25 μM 24 h</td>
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The cell-cycle distributions of THL- or ATRA-treated cells in Fig. 3 were listed in the Table. THL induced G2/M arrest of cell cycle, in contrast to the G1 arrest induced by ATRA. THL, Tien-Hsien Liquid; ATRA, all-trans retinoic acid.

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Figure 3. Effects of THL on the cell-cycle distribution of NB4 cells. The cell-cycle distributions were analyzed in the flow cytometric analysis histograms of THL (1.5 mg/ml) or ATRA (0.25 μM)-treated NB4 cells after 24 and 48 h of treatment. THL induced G2/M arrest in NB4 cells, in contrast to the ATRA-induced G1 arrest. The percentages of cells in different phases of the cell cycle are listed in Tables 1.

Table 1. The THL-induced G2/M arrest in NB4 cells

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Figure 4. The effects of THL on the cell-cycle regulating proteins of NB4 cells. (A) THL-induced down-regulation of cyclin A and cyclin B1 proteins of NB4 cells in a dose-dependent manner but did not significantly change the Cdc2 (CDK1) level. (B) In contrast to its effects on cyclin A and B1, THL only slightly decreased the protein levels of CDK2 and cyclin D1. The cells were treated with PBS or THL (0.375–3 mg/ml) for 72 h and then harvested. Cell lysates were then prepared for western blot analysis.
such as cyclin D1 and CDK2 were very little. As shown in Fig. 4B, THL only slightly reduced the protein levels of cyclin D1 and CDK2 even up to the dose of 3 mg ml\(^{-1}\) (Fig. 4B). THL substantially decreased both the PML-RAR\(\alpha\) and DNMT1 proteins of NB4 APL cells. The scheme explaining these effects of THL was illustrated in Fig. 7.

**THL Inhibited Oncogenic Signaling Pathways**

It is reported that the proliferation and apoptotic resistance of leukemia cells was closely related to several oncogenic signaling pathways such as Akt/mTOR, Stat3 and ERK (19–24). In addition to the inhibitory effects on the aberrantly expressed or elevated proteins, we also investigate the influences of THL on these signaling pathways of NB4 cells. After 72 h treatment of NB4 cells with THL, the constitutively phosphorylated Akt protein was decreased in a dose-dependent manner. Indeed, THL decreased not only AKT activity but also down-regulated total AKT protein (Fig. 8A). Similar results were also observed in the mTOR (mammalian target of rapamycin), an important downstream mediator of Akt, and Stat3. THL dose-dependently decreased both the phosphorylated and total proteins of mTOR and Stat3 in NB4 cells (Fig. 8B and C).

As shown in Fig. 8D, after 72 h of THL treatment, dramatic inhibition of ERK phosphorylation could be seen at dose above 0.375 mg ml\(^{-1}\). However, unlike its effects on other signaling proteins such as Akt, mTOR and Stat3, the total ERK protein level in NB4 cells was only slightly decreased even at the highest dose of THL. Fig. 9 schematically illustrated the effects of THL on the oncogenic signaling pathways in NB4 cells.
Active Fraction EAS5 Exerted Most Molecular Targeting Effects of THL

By ethyl acetate extraction and subsequent silica gel chromatography, an active fraction named EAS5 was obtained from THL. At about 0.5–1% of the dose of THL, the active fraction EAS5 could induce apoptosis and G2/M arrest in NB4 cells. After 72 h of treatment, massive apoptosis (Fig. 10A) and the increase of cleaved caspase-3 (Fig. 10B) were observed in cells treated with EAS5, even at the lowest dose (3.75 μg/ml). The cell-cycle distributions shown in Fig. 10A were outlined in Table 2. After 72 h treatment, the percentage of G2/M-phase cells was increased from 14.44% of the PBS control group to 23.07 and 44.14% by EAS5 at doses of 3.75 and 30 μg/ml, respectively. In accordance with the above results, treatment with EAS5 also resulted in a conspicuous decrease of cyclin B1. In addition, a broad-spectrum CDK inhibitor p21 (25) was also markedly increased by EAS5 (Fig. 11). The increased p21 would also inhibit the G2/M phase transition by Cdc2 inhibition, which was also illustrated in Fig. 5. Like THL, the EAS5-induced apoptosis of NB4 cells was also accompanied with the down-regulation of both PML-RARα and DNMT1 proteins. At the dose of 3.75 μg/ml, EAS5 not only induced massive apoptosis but also diminished both PML-RARα and DNMT1 of NB4 cells after 72 h treatment (Fig. 12). These effects by EAS5 were schematically illustrated in Fig. 7. At the same dose, EAS5 also markedly suppressed the Akt/mTOR and ERK signaling pathways in NB4 cells. EAS5 intensively decreased both the phosphorylated and total Akt protein levels (Fig. 13A), and the phosphorylated mTOR was strongly suppressed by EAS5 as well (Fig. 13B). Consistent with the effects of THL on the ERK of NB4 cells, EAS5 also dramatically decreased the ERK phosphorylation at the lowest dose of 3.75 μg/ml, however, the total ERK protein level was not affected even at the highest dose (Fig. 13C). These effects of EAS5 on the oncogenic signaling pathways were illustrated in Fig. 9.
Discussion

In the treatment of APL, the successful use of arsenic compounds contained in some traditional Chinese remedies revealed the potential of TCM in cancer therapy (3). We used the APL cells as a model to evaluate the anticancer effects of another Chinese remedy THL, which is a herbal mixture consisting mainly of extracts from 14 Chinese medicinal herbs and has been used as an anticancer dietary supplement for more than 10 years. In this study, we comprehensively elucidated the molecular anticancer mechanisms of THL against APL cells in the aspects of apoptosis induction, cell-cycle regulation, down-regulation of PML-RAR \( \alpha \) fusion protein and suppression of oncogenic signaling pathways.

Cell-cycle progression is closely related to the proliferation of cancer cells and therefore becomes the reasonable target for cancer therapy (5,26). There are several types of agents targeting the cell-cycle regulating proteins to arrest the cell cycle including chemotherapeutics. Chemotherapy agents such as taxol (27) and etoposide (28) induced G2/M arrest in cancer cells accompanied with the increase of cyclin B1. Similarly, ATO increased cyclin B1 in NB4 APL (7) and MCF-7 breast cancer (29).
cells but decreased cyclin B1 in PCI-1 head and neck cancer cells during G2/M arrest induction (30). In THL-induced G2/M arrest of NB4 leukemia cells, the cyclin B1 was also decreased rather than increased. This phenomenon was frequently observed in cancer cells treated with natural anticancer products, such as Huanglian (31), theaflavin (black tea polyphenol) (32), and genistein (33). As THL is composed of a mixture of Chinese herbal extracts, it would be expected to decrease cyclin B1 during G2/M arrest induction.

THL decreased not only the cyclin B1 but also the cyclin A protein level of NB4 cells. This phenomenon was also seen in the G2/M arrest induced by other herbal extracts or phytochemicals, such as Physalis angulata extract (18) and carnosic acid, a polyphenol present in Rosmarinus officinalis (34). In THL-treated NB4 cells, the cyclin A was suppressed by a smaller dose than cyclin B1. This phenomenon was similar to that induced by carnosic acid in Caco-2 colon cancer cells (34), which resulted in arresting the cell cycle prior to prometaphase. Therefore, it is speculated that the major cell-cycle arrest induced by THL may occur before prometaphase.

A hallmark of APL is a chromosomal translocation that results in the formation of PML-RARα fusion protein, which blocks both the apoptosis and differentiation of APL cells (8). According to our findings, THL induced dramatic apoptosis and down-regulation of PML-RARα fusion protein in NB4 cells but no differentiation induction was observed (data not shown). Nason-Burchenal et al. (35) had demonstrated that cleavage of PML-RARα mRNA by using a hammerhead ribozyme could trigger only apoptosis in NB4 APL cells but was insufficient to induce differentiation. Therefore, it is possible that THL may target the PML-RARα mRNA by the similar manner, which remains to be explored.

Recent research has shown that the oncogenic effects of PML-RARα fusion protein in leukemia were closely related to the hypermethylation on the promoters of target genes by recruiting DNMT (36). DNMT1, the most important DNMT for maintaining the aberrant methylation (14), had been found to be aberrantly elevated not only in leukemia (9) but also in many types of cancer cells (37–42). THL dramatically decreased both PML-RARα fusion protein and DNMT1 during apoptosis induction. The decrease of DNMT1 may play an important role in THL-induced apoptosis of NB4 cells as it is reported that depletion of DNMT1 could induce or promote apoptosis of cancer cells (43,44). Inhibition of DNMT1 had been suggested as one of the targeted therapies for hematologic malignancies (45). How to down-regulate DNMT1 appears as a sound approach for epigenetic cancer therapies (14,46–48). Considering its DNMT1 suppressing effect, THL might have potential as a candidate agent for epigenetic cancer therapy.

THL-induced apoptosis of NB4 cells may closely correlate with the inhibition of the oncogenic signaling pathways, such as Akt/mTOR, Stat3, and ERK. In acute leukemia cells, the Akt signaling is frequently activated and strongly contributes to proliferation and survival (21). Constitutive Stat3 activation has been observed in a number of hematopoietic malignancies, and the apoptosis resistance in APL cells was hypothesized to be mediated through the augmentation of Stat3 signaling by the APL fusion proteins including the PML-RARα (49). The ERK signaling is frequently activated in AML cells and down-regulation of this aberrantly activated ERK had been shown to result in significant apoptosis of the AML blasts (20). As targeting these three signaling pathways for effective leukemia therapy has been suggested (15), the inhibition of all these three cascades by THL further reveals its potentiality on APL therapy. Furthermore, the inhibitory effect of THL on ERK phosphorylation is notably dramatic. This may be useful for enhancing the therapeutic efficacy of another APL treating agent ATO. The ATO-induced apoptosis of acute leukemia cells is counteracted by the induction of ERK activity (50), and inhibition of ERK activity could enhance apoptosis in ATO-treated NB4 cells (51). Therefore, it is speculated that combined or sequential use with THL plus ATO may provide the beneficial for APL treatment.
In addition to elucidate the comprehensive effects of THL against APL cells, we also purified the active fraction EAS5 from this herbal mixture. It seems that the active anticancer components in THL are relative hydrophobic, because the EAS5 was ethyl acetate soluble and eluted by ethyl acetate/n-hexane (80/20). This hydrophobic property of EAS5 may make it easier to be absorbed by GI tract. As the EAS5 was about one hundred times more potent than THL and exerted most of its multitracking effects against NB4 cells, it may be considered as a candidate for future clinical trial of complementary APL treatment.

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